

facial expression recognition

facial expression recognition is an advanced technology that enables machines to identify and interpret human emotions by analyzing facial movements. This field combines computer vision, machine learning, and psychology to decode subtle changes in facial features such as the eyes, mouth, and eyebrows. As facial expressions are a universal language of human emotion, this technology has vast applications ranging from security systems and marketing analytics to healthcare and human-computer interaction. Recent developments in deep learning algorithms have significantly improved the accuracy and speed of facial expression recognition systems. This article explores the fundamentals of facial expression recognition, its methodologies, applications, challenges, and future trends. Understanding these aspects offers insight into how this technology continues to evolve and influence various industries.

- Understanding Facial Expression Recognition
- Technologies and Techniques in Facial Expression Recognition
- Applications of Facial Expression Recognition
- Challenges and Ethical Considerations
- Future Trends in Facial Expression Recognition

Understanding Facial Expression Recognition

Facial expression recognition is the process by which computers analyze facial features to detect human emotions automatically. This technology interprets facial muscle movements to classify

expressions such as happiness, sadness, anger, surprise, fear, and disgust. It is grounded in psychological theories like the Facial Action Coding System (FACS), which identifies facial muscle movements called action units (AUs) associated with specific emotions. By mapping these AUs, facial expression recognition systems can infer emotional states with increasing precision.

Psychological Foundations

The science behind facial expression recognition stems from studies in psychology that identify universal facial expressions linked to emotions. Paul Ekman's research established that certain expressions are recognized across cultures, making them ideal targets for automated detection. Understanding these psychological principles helps in designing algorithms that focus on meaningful muscle movements rather than superficial changes.

Basic Components of Facial Expressions

Facial expressions consist of changes in various facial features, including the eyes, eyebrows, nose, and mouth. These components interact dynamically to convey emotions. For example, a smile involves the upward movement of mouth corners and sometimes the crinkling of eyes. Recognizing these components is crucial for systems aiming to decode complex emotional cues accurately.

Technologies and Techniques in Facial Expression Recognition

Facial expression recognition leverages multiple technologies and computational techniques to analyze images or video frames. It typically involves several stages, including face detection, feature extraction, and emotion classification. Advances in artificial intelligence have enhanced each stage, enabling more robust and real-time applications.

Face Detection and Preprocessing

The first step in facial expression recognition is accurately detecting the face within an image or video frame. Techniques like Haar cascades, Histogram of Oriented Gradients (HOG), and deep learning-based detectors such as convolutional neural networks (CNNs) are commonly used. Preprocessing may include normalization, alignment, and noise reduction to improve feature extraction quality.

Feature Extraction Methods

Feature extraction involves identifying distinctive facial landmarks or regions that are informative for emotion recognition. Traditional methods use geometric features like distances and angles between key points on the face. Appearance-based techniques analyze texture patterns, wrinkles, and shading changes using methods like Local Binary Patterns (LBP) or Gabor filters. Deep learning models automatically learn hierarchical features from raw pixel data.

Emotion Classification Algorithms

Once features are extracted, classification algorithms assign the detected facial expression to an emotional category. Common approaches include support vector machines (SVM), k-nearest neighbors (KNN), and random forests. Recently, deep neural networks, especially convolutional neural networks (CNNs) and recurrent neural networks (RNNs), have achieved superior performance in recognizing subtle emotions and temporal dynamics.

Popular Frameworks and Tools

- OpenFace: An open-source toolkit for facial behavior analysis.
- FER+ Dataset: Provides labeled facial expression data for training.

- Dlib: A toolkit offering facial landmark detection capabilities.
- TensorFlow and PyTorch: Widely used deep learning frameworks for building custom models.

Applications of Facial Expression Recognition

Facial expression recognition technology is transforming various sectors by providing insights into human emotions and behaviors. Its ability to analyze emotions accurately and non-intrusively makes it valuable for a broad range of applications.

Security and Surveillance

In security contexts, facial expression recognition enhances threat detection by identifying suspicious behavior or emotional states such as anger or fear in crowds. This technology is increasingly integrated into surveillance systems to improve public safety and crime prevention.

Healthcare and Mental Health Monitoring

Healthcare providers use facial expression recognition to monitor patients' emotional well-being and detect signs of pain, depression, or anxiety. It assists in non-verbal communication assessment, particularly for patients with speech impairments or neurological disorders.

Marketing and Consumer Research

Businesses apply facial expression recognition to gauge consumer reactions to advertisements, products, or services. This real-time emotional feedback enables more effective marketing strategies and personalized customer experiences.

Human-Computer Interaction

Integrating facial expression recognition into user interfaces allows devices to respond adaptively to users' emotional states. This enhances user experience in gaming, virtual assistants, and educational software by making interactions more intuitive and empathetic.

Automotive Industry

Driving safety is improved by monitoring driver fatigue, distraction, or stress through facial expression recognition. Such systems alert drivers when risky emotional or physical states are detected, reducing the likelihood of accidents.

Challenges and Ethical Considerations

Despite its advancements, facial expression recognition faces significant challenges that impact reliability and ethical use. Addressing these concerns is critical for the responsible deployment of this technology.

Technical Limitations

Variations in lighting, occlusions (such as glasses or masks), facial hair, and diverse demographics can reduce accuracy. Additionally, spontaneous and subtle expressions are more difficult to detect than exaggerated ones. Ensuring robustness across diverse conditions remains a key technical challenge.

Privacy Concerns

Facial expression recognition involves collecting and processing sensitive biometric data, raising privacy issues. Unauthorized surveillance and data misuse can infringe on individual rights. Strict

regulations and transparent data handling policies are necessary to protect user privacy.

Bias and Fairness

Datasets used for training facial expression recognition systems may not be representative of all ethnicities, ages, or genders, leading to biased outcomes. Efforts to create diverse and inclusive datasets are essential to minimize discrimination and ensure equitable performance.

Ethical Use Cases

The potential for misuse in areas like employment screening, law enforcement, and social control requires ethical guidelines. Clear standards and oversight mechanisms should govern the deployment of facial expression recognition to prevent harm and protect civil liberties.

Future Trends in Facial Expression Recognition

Ongoing research and technological advancements continue to shape the future landscape of facial expression recognition. Emerging trends promise enhanced capabilities and broader adoption across industries.

Integration with Multimodal Emotion Recognition

Combining facial expression recognition with other modalities such as voice analysis, physiological signals, and contextual data improves emotion detection accuracy and depth. Multimodal systems offer a more comprehensive understanding of human affective states.

Advancements in Deep Learning

Next-generation deep learning models, including transformer architectures and self-supervised learning, are expected to further boost performance and adaptability. These models can learn from limited labeled data and generalize better across diverse conditions.

Real-Time and Edge Computing Applications

Deploying facial expression recognition on edge devices such as smartphones and embedded systems enables real-time processing with low latency. This facilitates privacy-preserving applications where data processing occurs locally rather than in the cloud.

Personalized and Adaptive Systems

Future systems will increasingly tailor responses based on individual emotional patterns and preferences. Adaptive interfaces and personalized content delivery will enhance user engagement and satisfaction.

Frequently Asked Questions

What is facial expression recognition technology?

Facial expression recognition technology is a type of artificial intelligence that analyzes facial movements and expressions to identify human emotions and intentions.

How is facial expression recognition used in real-world applications?

It is used in various fields such as security for identity verification, marketing to gauge consumer reactions, healthcare for monitoring patient emotions, and automotive systems for driver alertness detection.

What are the main challenges in facial expression recognition?

Challenges include variations in lighting conditions, occlusions like glasses or masks, differences in individual facial features, and the subtlety of some expressions that make accurate recognition difficult.

Which algorithms are commonly used for facial expression recognition?

Common algorithms include convolutional neural networks (CNNs), support vector machines (SVM), and deep learning models that analyze facial landmarks and texture patterns.

How does facial expression recognition handle cultural differences in expressions?

Advanced systems incorporate diverse datasets representing various cultures to improve accuracy, but cultural differences can still affect recognition performance, requiring continuous training and adaptation of models.

Additional Resources

1. *Facial Expression Recognition: Fundamentals and Applications*

This book provides a comprehensive introduction to the principles and methods used in facial expression recognition. It covers both traditional machine learning techniques and the latest deep learning approaches. The text also discusses real-world applications, including human-computer interaction and security systems.

2. *Deep Learning for Facial Expression Analysis*

Focusing on the application of deep neural networks, this book explains how convolutional neural networks (CNNs) and recurrent architectures can be utilized to analyze facial expressions. It includes case studies and practical coding examples to help readers implement their own expression recognition systems.

3. Emotion Recognition from Facial Expressions: Theory and Practice

This volume explores the psychological theories behind facial expressions and their connection to emotions. It combines insights from cognitive science with computational methods to provide a holistic view of emotion recognition technologies.

4. Computer Vision Techniques for Facial Expression Recognition

Targeting computer vision researchers, this book delves into image processing and feature extraction techniques for recognizing facial expressions. Topics include facial landmark detection, feature descriptors, and classification algorithms specifically designed for expression analysis.

5. Real-Time Facial Expression Recognition Systems

This book addresses the challenges of building facial expression recognition systems that operate in real time. It discusses hardware considerations, optimization strategies, and latency reduction techniques to ensure quick and accurate expression detection.

6. Facial Action Coding System: A Guide for Researchers

Detailing the Facial Action Coding System (FACS), this text serves as an essential manual for annotating and interpreting facial muscle movements. It explains how FACS annotations can be integrated into automated expression recognition systems.

7. Multimodal Emotion Recognition: Integrating Facial Expressions and Speech

This book explores methods for combining facial expression data with other modalities, such as speech and physiological signals, to improve emotion recognition accuracy. It emphasizes fusion techniques and cross-modal learning approaches.

8. Advances in Facial Expression Recognition Using Machine Learning

Covering state-of-the-art machine learning algorithms, this book reviews recent advances in the field of facial expression recognition. It highlights novel architectures, datasets, and evaluation metrics that have pushed the boundaries of current technologies.

9. Applications of Facial Expression Recognition in Healthcare

This book examines how facial expression recognition technology is transforming healthcare, from pain assessment to mental health monitoring. It discusses ethical considerations, patient privacy, and the integration of these systems into clinical practice.

Facial Expression Recognition

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facial expression recognition: Facial Expression Recognition A W Young, 2016-04-14 In the World Library of Psychologists series, international experts themselves present career-long collections of what they judge to be their finest pieces - extracts from books, key articles, salient research findings, and their major theoretical and practical contributions. This volume of self-selected papers recognises Andy Young's major contribution to the study of face perception, for which he received the BPS Lifetime Achievement Award in 2013. Focusing on his work in facial expression recognition, a specially written introduction gives an overview of his work and contextualises the selection in relation to developments in the field during this time. Divided into five distinct sections, the book covers work on both theoretical and experimental approaches to facial expression recognition, neuropsychology, functional brain imaging, and applications of research. This book will be of great interest to students and researchers of cognitive psychology or neuropsychology interested in face perception. It will also appeal to those with an interest in the highly varied applications of the research and provide insight into a number of clinical disorders.

facial expression recognition: HUMAN EMOTION RECOGNITION FROM FACE IMAGES Asit Barman, 2023-05-31 When it comes to recognizing facial emotion, the distance feature is extremely important. In the field of affective computing, identifying accurate landmarks is critical as well as a difficult issue. The appearance model detects prominent landmarks on human faces. On the human face, these prominent landmarks form a grid. Distances are calculated within the grid by comparing one landmark point to another. Normalized distances are regarded as a distance signature. To form a normalized shape signature, the possible triangles are found within the grid. Texture characteristics among the landmark points reflected in human faces are important features in facial expression recognition. Appearance-based models detect effective landmarks, and corresponding texture regions are extracted from face images. The texture feature is computed using a Local Binary Pattern (LBP). Normalizing texture signatures is accomplished with the texture feature. A novel concept of corresponding stability indices is introduced, which are eventually discovered to play an important role in facial expression recognition. For these reasons, the stability indices are calculated from each normalized distance, shape, and texture signature feature. To supplement the feature set, individual distance, shape, and texture signature features are used to calculate statistical analyses such as range, moment, skewness, kurtosis, and entropy. The enhanced distance signature feature set is fed into a Multilayer Perceptron (MLP) to generate various expression categories such as anger, sadness, fear, disgust, surprise, and happiness. We train and test our proposed system on four benchmark datasets: Cohn-Kanade (CK+), JAFFE, MMI, and MUG. To categories the expressions, the shape signature feature set is fed into Multilayer Perceptron (MLP)

and Nonlinear Auto Regressive with eXogenous (NARX). We tested our proposed system on four databases and found that it outperformed other state-of-the-art solutions. To conduct the experiments, the texture signature feature is used as an input to Nonlinear Auto Regressive with eXogenous (NARX) for recognition of human facial expressions on benchmark datasets, and the results support the effectiveness of the proposed procedure. Following the recognition of expressions using individual signature features, we investigate the combined distance and shape (D-S), distance and texture (D-T), and shape and texture (S-T) signature features. To conduct and validate our experiment and establish its performance superiority over other existing competitors, we feed the combined distance and shape (D-S) feature set into a Multilayer Perceptron (MLP) to categorize the expressions into different categories on four databases. The combined distance-texture (D-T) signature outperforms the distance and texture signatures separately. The effectiveness of the proposed technique based on combined D-T signature is demonstrated by its extremely encouraging performance when compared to other existing arts. To classify the expression on the CK+, JAFFE, MMI, MUG, and Wild face benchmark databases, the combined shape and texture (S-T) features are fed into Multilayer Perceptron (MLP) and Deep Belief Neural (DBN) networks. Extensive testing demonstrates that our proposed methodology outperforms other existing competitors in terms of performance. Finally, the distance signature, shape signature, and texture signature are combined to form a distance-shape-texture signature trio feature for recognizing facial expression. The experimental results also show a promising recognition rate of facial expressions of the distance-shape-texture signature trio when compared to other existing arts.

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facial expression recognition: Development of Facial Expression Recognition System El Mehdi Bouhabba, 2011 Enabling computer systems to recognize facial expressions and infer emotions from them in real time presents a challenging research topic. The recognition of emotional information is a key step towards giving computers the ability to interact more naturally and intelligently with people. One of the potential applications of face detection and facial expression recognition is in human computer interfaces. The system will be used for the interaction between human and humanoid robot head, where the detected expression will be mimicked by the robot head. The problem of facial recognition can be divided into two major areas: detection of the face region and identification of the detected region. Detecting human face in computer vision proves to be very challenging due to the fact that human faces can have different forms and colors, adverse lighting conditions, varying angles or view points, scaling differences and different backgrounds.

Attempting recognition on an inaccurate detected face region is hopeless. This thesis describes a face detection framework that is capable of processing input images swiftly while achieving high detection rates. The presented face detection system is developed using the response of Haar-Like features and AdaBoost algorithm. A set of experiments in the domain of face detection is presented in this research. The developed system yields face detection performance comparable to the best existing systems, where its accuracy is up to 98%. The face and facial features detected in the video stream are used as input to a Support Vector Machine classifier, which is used for facial expression recognition. The method was evaluated in terms of recognition accuracy for a variety of interaction and classification scenario, and it was proven that the system is able to detect the four expressions successfully. The person-dependent and person-independent experiments demonstrate the effectiveness of a support vector machine to fully automatic and unobtrusive expression recognition in real time.

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facial expression recognition: Engineered and Learned Features for Face and Facial Expression Recognition Said Moh'd Said Elaiwat, 2015 Face and facial expression recognition play a crucial role in many applications such as biometrics, human computer interactions and non-verbal communications. The human face can provide important clues/cues to identify people, and determine their emotional state, even without their explicit cooperation. However, variations in illumination conditions, facial pose, occlusion and facial expression (for face recognition), can dramatically degrade the performance of face and facial expression recognition systems. To address these challenges, this thesis presents novel feature extraction methods based on hand-engineered global and local features geared towards the problem of face recognition in still images. Novel feature learning methods are also proposed for the task of video based face and facial expression recognition. The proposed methods are capable of providing robust and distinctive facial features in the presence of variations in illumination, occlusion, pose and image resolution. The thesis starts by investigating the ability of Curvelet transform to extract robust global features for the task of 3D face recognition under different facial expressions. The benefits of fusing 3D and 2D Curvelet features is also investigated to achieve multimodal face identification. While such an approach proposed above extracts robust features from semi-rigid regions, it is often hard to automatically detect such regions across different datasets. Thus, a novel Curvelet local feature approach is proposed to extract local features rather than global features. The proposed approach relies on a novel multimodal keypoint detector capable of repeatably identifying keypoints on textured 3D face surfaces. Unique local surface descriptors are then constructed around each detected keypoint by integrating curvelet elements of different orientations. Unlike previously reported curvelet-based face recognition algorithms, which extract global features from textured faces only, our algorithm extracts both texture and 3D local features. The thesis also addresses the problem of face recognition from low resolution videos (e.g, security camera). This problem introduces new challenges requiring a method capable of exploiting the temporal information or/and appearance variations within image sequences (videos) during the feature extraction. To address these issues, a novel feature learning RBM-based model is proposed to automatically extract the best features, which can represent the semantic knowledge within videos (image sets). The structure of the proposed model involves two hidden sets used to encode the dominant appearances (facial features) and temporal information within videos (image sets). To learn the proposed model, an extension of the standard Constructive Divergence algorithm is proposed to facilitate the encoding of two different feature types (i.e., facial features and temporal information). For video based facial expression recognition, the thesis also proposes a novel feature learning RBM-based model to learn effectively the relationships (or transformations) between image pairs associated with different facial expressions. The proposed model has the ability to disentangle these transformations (e.g. pose variations and facial expressions) by encoding them into two different hidden sets. The first

hidden set is used to encode facial-expression morphlets, while the second hidden set is used to encode non-facial-expression morphlets. This is achieved using an algorithm, dubbed Quadripartite Contrastive Divergence.

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2012-07 A human face is a complex object with varying features. The work presents a face recognition system that uses eyes, nose & mouth approximations for training a neural network to recognize faces in different expressions such as natural, smiley, sad and surprised. The developed system is implemented using our face database and browsing images from the computer. We have developed an automatic facial expression recognition system using neural network classifiers. First, we use the rough contour estimation routine, mathematical morphology, and point contour detection method to extract the precise contours of the eyebrows, eyes, and mouth of a face image. Then we define 30 facial points. We choose 6 main action units, being composed of facial characteristic point's movements, as the input vectors for expression classifiers including radial basis function network. Preprocessing of image is done in Matlab6.0 using various filters, segmentation, location or tracking. Then classification is done using neural networks classifier. Selected facial feature points were automatically tracked and extracted feature vectors were used to classify expression using Fuzzy logic control system.

facial expression recognition: Video Analytics. Face and Facial Expression Recognition

Xiang Bai, Yi Fang, Yangqing Jia, Meina Kan, Shiguang Shan, Chunhua Shen, Jingdong Wang, Gui-Song Xia, Shuicheng Yan, Zhaoxiang Zhang, Kamal Nasrollahi, Gang Hua, Thomas B. Moeslund, Qiang Ji, 2019-01-18 This book constitutes the proceedings of the Third Workshop on Face and Facial Expression Recognition from Real World Videos, FFER 2018, and the Second International Workshop on Deep Learning for Pattern Recognition, DLPR 2018, held at the 24th International Conference on Pattern Recognition, ICPR 2018, in Beijing, China, in August 2018. The 7 papers presented in this volume were carefully reviewed and selected from 9 submissions. They deal with topics such as histopathological images, action recognition, scene text detection, speech recognition, object classification, presentation attack detection, and driver drowsiness detection.

facial expression recognition: Human Facial Expressions a Global and Systematic Model for Emotion Recognition, 2009 What man primarily sees in his continual social interactions are the faces of other persons. Starting from this vision is how he tries to infer what is happening in the bodies and minds of those individuals and then conclude if the person in question is happy, angry or in any other state of mind and thus, based on this information, modify his behavior. Using our vision system is how we analyze changes in the principal facial expressions to indirectly determine the emotion associated with these changes. Identification and recognition of emotions are therefore important abilities that facilitate the social and emotional development of people as well as being a fundamental factor of non-verbal communication. It is worth mentioning that the emotions can be expressed by diverse means, namely, bodily behaviors, vocalizations and facial expressions, being the last one mentioned, the most important. A computer system automatically capable of determining an expression related to a face, taking into account that this expression is a reflection of the person's inner state, would be of enormous utility in fields as diverse as medicine, psychology, human behavior, security, human-computer interfaces and education. Facial expression recognition is a very active research field and there are various solution proposals put forth by academic and commercial teams. Designing these systems requires knowledge in various scientific and computational fields such as: image processing, vision, artificial and computational intelligence, stochastic processes as well as psychological and physiological essentials. The work reported in this thesis takes into consideration several aspects of a computational model for the automatic detection and quantification of facial emotions based primarily on the analysis and measurement of the changes in facial expressions, which are related to a ruled-based fuzzy system which identifies and measures the intensity of the displayed emotion. Among the enormous c.

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followed by a description of empirical studies conducted with human participants and the construction of a 'face image database'. On the basis of this work, a visual affect recognition system was developed, consisting of two modules: a face detection subsystem and a facial expression recognition subsystem. Details of this system are demonstrated and analyzed, and extensive performance evaluations and test results are provided. Finally, current research avenues leading to visual affect recognition via analysis of body motion and gestures are also discussed.

facial expression recognition: Understanding Facial Expressions in Communication

Manas K. Mandal, Avinash Awasthi, 2014-10-10 This important volume provides a holistic understanding of the cultural, psychological, neurological and biological elements involved in human facial expressions and of computational models in the analyses of expressions. It includes methodological and technical discussions by leading scholars across the world on the subject. Automated and manual analysis of facial expressions, involving cultural, gender, age and other variables, is a growing and important area of research with important implications for cross-cultural interaction and communication of emotion, including security and clinical studies. This volume also provides a broad framework for the understanding of facial expressions of emotion with inputs drawn from the behavioural sciences, computational sciences and neurosciences.

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bring together selected recent advances, applications and original results in the area of biometric face recognition. They can be useful for researchers, engineers, graduate and postgraduate students, experts in this area and hopefully also for people interested generally in computer science, security, machine learning and artificial intelligence. Various methods, approaches and algorithms for recognition of human faces are used by authors of the chapters of this book, e.g. PCA, LDA, artificial neural networks, wavelets, curvelets, kernel methods, Gabor filters, active appearance models, 2D and 3D representations, optical correlation, hidden Markov models and others. Also a broad range of problems is covered: feature extraction and dimensionality reduction (chapters 1-4), 2D face recognition from the point of view of full system proposal (chapters 5-10), illumination and pose problems (chapters 11-13), eye movement (chapter 14), 3D face recognition (chapters 15-19) and hardware issues (chapters 19-20).

facial expression recognition: Visual Perception of Emotional and Conversational Facial

Expressions Kathrin Kaulard, 2015-05-11 One of the defining attributes of the human species is sophisticated communication, for which facial expressions are crucial. Traditional research has so far mainly investigated a minority of 6 basic emotional expressions displayed as pictures. Despite the important insights of this approach, its ecological validity is limited: facial movements express more than emotions, and facial expressions are more than just pictures. The objective of the present thesis is therefore to improve the understanding of facial expression recognition by investigating the internal representations of a large range of facial expressions, displayed both as static pictures and as dynamic videos. To this end, it was necessary to develop and validate a new facial expression database which includes 20.000 stimuli of 55 expressions (study 1). Perceptual representations of the six basic emotional expressions were found previously to rely on evaluation of valence and arousal; study 2 showed that this evaluation generalises to many more expressions, particularly when displayed as videos. While it is widely accepted that knowledge influences perception, how these are linked is largely unknown; study 3 investigated this question by asking how knowledge about facial expressions, instantiated as conceptual representations, relates to perceptual representations of these expressions. A strong link was found which changed with the kind of expressions and the type of display. In probably the most extensive behavioural studies (with regards to the number of facial expressions used) to date, this thesis suggests that there are commonalities but also differences in processing of emotional and of other types of facial expressions. Thus, to understand facial expression processing, one needs to consider more than the 6 basic emotional expressions. These findings outline first steps towards a new domain in facial expression research, which has implications for a number of research and application fields where facial expressions play a role, ranging from social, developmental, and clinical psychology to

computer vision and affective computing research.

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